

Statement of Authorised Status

Finance One Limited ('the firm') (Ref. No. 40123) is authorised under Section 10 of the Investment Intermediaries Act, 1995 (as amended).

As a Multi Agency Intermediary, Finance One Limited is authorised to receive and transmit orders to product producers from whom a written letter of appointment is held, in relation to:

1. UCITS
2. Tracker Bonds
3. Insurance Policies
4. Personal Retirement Savings Accounts (PRSAs)

Finance One Limited is also authorised to act as a Deposit Broker and give advice in respect of deposits.

The firm may only give investment advice in relation to investment instruments available from those product producers from whom an appointment is held.

When receiving and transmitting orders in relation to insurance policies/ and or PRSAs the firm may only accept cash or cheques/bank drafts from clients payable to itself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances cheques/bank drafts or other payment instruments must be made payable to the product producer.

For and on behalf of the Irish Financial Services Regulatory Authority:

Signed: Allyson Bridgote

Signed: John Stacey

Financial Institutions and
Funds Authorisation

11 JAN 2007